

EXHIBIT 1

Significant Financial Interests (SFI) Disclosure Form

(For sponsored research applicable to Arsenal Medical FCOI Policy)

Investigator: _____ Dept: _____ Program: _____

Type of disclosure: Initial/Annual report Change in SFI

Financial Conflict of Interest (FCOI) training : Completed/current Pending

(Training required upon award from PHS, NSF or other applicable sponsor, and renewed at least every 4 yrs.)

Significant Financial Interest (SFI): anything of monetary value received or held by an investigator or family member (spouse, domestic partner, parents, siblings or children), whether or not the value is readily ascertainable, that reasonably appears to be related to the investigator's institutional responsibilities. SFI includes (check all that apply):

- Salary or other payments for services (e.g., consulting fees, honoraria, or paid authorships for other than scholarly works) when the aggregated value received from a publicly traded entity during the 12 month period preceding the disclosure, and the value of any equity interest during the 12 month period preceding or as of the date of disclosure, exceeds \$5,000.
- Salary or other payments for services, when the aggregated value received from a non-publicly traded entity during the 12 month period preceding the disclosure exceeds \$5,000.
- Equity interests (e.g., stocks, stock options, or other ownership interests) in a non-publicly-traded company of any value during the 12 month period preceding or as of the date of disclosure.
- Income related to intellectual property rights and interests (e.g., patents, trademarks, service marks, and copyrights) not reimbursed through Arsenal Medical.
- Reimbursed or sponsored travel that is related to Investigator's institutional responsibilities. This includes travel that is both reimbursed directly to the Investigator and amounts paid on behalf of the Investigator rather than reimbursed (i.e., using the corporate travel card). It excludes travel reimbursed or sponsored by U.S. Federal, state or local governmental agencies, U.S. institutions of higher education, research institutes affiliated with Institutions of higher education, academic teaching hospitals, and medical centers.

SFI does NOT include: 1) Salary, royalties, or other remuneration from Arsenal Medical; 2) income from the authorship of academic or scholarly works; 3) income from seminars, lectures, or teaching engagements sponsored by or from advisory committees or review panels for U.S. Federal, state or local governmental agencies, U.S. institutions of higher education, U.S. research institutes affiliated with institutions of higher education, academic teaching hospitals, or medical centers; or 4) equity interests or income from investment vehicles, such as mutual funds and retirement accounts, so long as the investigator does not directly control the investment decisions made in these vehicles.

Investigator certification: *(mark one as applicable)*

I certify **no SFI** related to my Arsenal Medical institutional responsibilities; or

I **disclose SFI** (as indicated above) which may be related to my Arsenal Medical institutional responsibilities. These interest(s) are described below, or provided as an attachment.

Describe the external entity, the type of business, the role/nature of financial interest that you or your family has in the entity, as well as any other relevant details:

By signing below, I certify that I have read and understand Arsenal Medical's Policy FINANCIAL CONFLICT OF INTEREST. I understand that, if awarded, disclosure is required annually, and upon any changes throughout the duration of the applicable sponsored project.

Investigator Signature _____ Date _____

REVIEW SECTION
Significant Financial Interests (SFI) Disclosure Form (page 2)

Financial Conflict of Interest (FCOI): a Significant Financial Interest (SFI) that could directly and significantly affect the design, conduct or reporting of Arsenal Medical research.

Corporate Officer response :
(mark one as applicable)

- No SFI.** I have reviewed this disclosure form and agree and acknowledge that the investigator has no significant financial interests related to their Arsenal Medical institutional responsibilities.

- Review of SFI disclosure.** I have reviewed the significant financial interest(s) described here, and in consultation with the Investigator have determined:
 - No potential FCOI exists.

 - Actual or apparent FCOI exists that may directly and significantly affect the design, conduct or reporting of Arsenal Medical research. Referred to the Executive Team (defined as an employee or consultant of the Arsenal Medical leadership team directly appointed by the Chief Executive Officer).

By signing below, I certify that I have read and understand Arsenal Medical's Policy FINANCIAL CONFLICT OF INTEREST.

Financial Administrator _____
Signature *Date*

Chief Executive Officer _____
Signature *Date*

Comments:
